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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

9

Application Number

09/731,941

Filing Date

December 6, 2000

First Named Inventor

James M. ALLEN

Group Art Unit

1632

Examiner Name

To Be Assigned

Attorney Docket No.

226272001403

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Copy of Response to Request for Corrected Filing Receipt - 2 pages
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Request for a Corrected Filing Receipt - 2 pages
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Copies of a Communication, Express Mail receipt, and date stamped return receipt postcard from parent application 08/564,167 - 3 pages
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		4. Marked-up copy of the first page of the Filing Receipt - 1 page
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		5. Return postcard

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	<i>Catherine M. Polizzi</i>
Date	November 6, 2001

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 6, 2001.

Laura Shires
Laura Shires

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

pa-639387



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/731,941	12/06/2000	1632	355	226272001403	8	19	2

CONFIRMATION NO. 6840

FILING RECEIPT



OC000000006794280

Catherine M. Polizzi
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304-1018

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OCT 01 2001

MORRISON & FOERSTER, LLP

Date Mailed: 09/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James M. Allen, Seattle, WA;

Domestic Priority data as claimed by applicant

~~THIS APPLICATION IS A CON OF 08/564,167-12/18/1995 ABN *~~
~~WHICH IS A 371 OF PCT/US95/15892 12/06/1995~~

~~(*) Data inconsistent with PTO records.~~

THIS APPLICATION IS A CON OF 08/564,167 12/14/1995 ABN
WHICH IS A 371 OF PCT/US95/15892 12/06/1995
WHICH CLAIMS PRIORITY TO 08/480,575 6/07/1995 ABN
AND 08/350,219 12/06/1994 ABN.

Foreign Applications

If Required, Foreign Filing License Granted 09/26/2001

Projected Publication Date: 01/03/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Packaging cell lines for generation of high titers of recombinant AAV vectors

Preliminary Class

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/731,941	12/06/2000	James M. Allen	226272001403

CONFIRMATION NO. 6840



OC000000006926365

Catherine M. Polizzi
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304-1018

Date Mailed: 10/19/2001

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**Claims, Fees, Inventors and Continuity**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:



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PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
Docket No. 226272001403

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Laura Shires

Laura Shires

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

James M. ALLEN

Serial No.: 09/731,941

Filing Date: December 6, 2000

For: PACKAGING CELL LINES FOR
GENERATION OF HIGH TITERS OF
RECOMBINANT AAV VECTORS

Examiner: To Be Assigned

Group Art Unit: 1632

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REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

1. In the Domestic Priority data as claimed by applicant, please replace

"THIS APPLICATION IS A CON OF 08/564,167 12/18/1995 ABN*
WHICH IS A 371 OF PCT/US95/15892 12/06/1995
(*) Data inconsistent with PTO records."

with

--THIS APPLICATION IS A CON OF 08/564,167 12/14/1995 ABN
WHICH IS A 371 OF PCT/US95/15892 12/06/1995
WHICH CLAIMS PRIORITY TO 08/480,575 06/07/1995 ABN
AND 08/350,219 12/06/1994 ABN.--

A marked-up copy of the first page of the Filing Receipt is enclosed.

Copies of a Communication, Express Mail receipt, and date stamped return receipt postcard from the parent application (U.S. Patent Application No. 08/564,167) are enclosed for support. Information for this correction was also provided on the Preliminary Amendment and copy of the executed Declaration, both filed on December 6, 2000.

Applicants believe no fee is required. However, in the event that the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: November 6, 2001

By: Catherine M. Polizzi
Catherine M. Polizzi
Registration No. 40,130

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5651
Facsimile: (650) 494-0792



PATENT
Docket 226272001402

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to Paulette Kidwell of the United States Patent and Trademark Office on May 19, 1997.

May 19, 1997

Date

Diane Cascia

Diane Cascia

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: James M. Allen et al.

Serial No.: 08/564,167

International Filing Date: 6 December 1995
(PCT/US95/15892)

For: PACKAGING CELL LINES FOR
GENERATION OF HIGH TITERS OF
RECOMBINANT AAV VECTORS

Art Unit: unknown

Examiner: unassigned

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COMMUNICATION

The Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

This application is a 35 USC § 371 National Phase application of PCT/US95/15892.

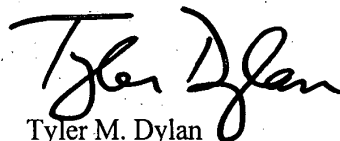
Applicants have received a Form PCT/DO/EO/903 listing 18 December 1995 as the
§102(e) Date and Date of Receipt of §371 Requirements.

However, Applicants note that the materials were delivered to the Office by Express Mail deposit on 14 December 1995 (a copy of the Express Mail receipt and the Return Postcard from the Office are attached).

Accordingly, it is believed that a revised Form PCT/DO/EO/903 should list 14 December 1995 as the §102(e) Date and Date of Receipt of §371 Requirements.

COPY

Respectfully submitted,



Tyler M. Dylan
Registration No. 37,612

MORRISON & FOERSTER LLP
755 Page Mill Road
Palo Alto, CA 94304-1018
Telephone: (415) 813-5600
Fax: (415) 494-0792

May 19, 1997

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22627-20014.02 2261/4282



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ATTORNEY DOCKET: 226272001402

DATE: Dec. 14, 1995

APPLICANT: James M. Allen

TITLE: PACKAGING CELL LINES FOR GENERATION OF HIGH TITERS
OF RECOMBINANT AAV VECTORS

PAPERS ENCLOSED: 35 U.S.C. § 371 Request

☒ SPECIFICATION 48 PAGES ☐ ASSIGNMENT☒ CLAIMS

4 PAGES

☒ CERTIFICATE OF EXPRESS MAIL
TB506911174US☒ ABSTRACT

1 PAGES

☒ VERIFIED STATEMENT☒ DRAWINGS

8 SHEETS

☐ BLANKET PETITION EXT. TIME☒ DECLARATION

2 PAGES

☒ TRANSMITTAL LTR REQUEST &
FEE CALCULATION SHEET (+ DUPE)☒ FILING FEE CHECK \$516.00☒ PoA by Assignee

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